

UNITED STATES BANKRUPTCY COURT
 SOUTHERN DISTRICT OF FLORIDA
 MIAMI DIVISION
www.flsb.uscourts.gov

In re:

PROVIDENCE FINANCIAL
 INVESTMENTS, INC.,

Case No. 16-20516-AJC
 Chapter 7
 (Jointly Administered)

PROVIDENCE FIXED INCOME FUND,
 LLC,

Case No. 16-20517-AJC

Debtors.

_____ /

NOTICE OF RULE 2004 EXAMINATION DUCES TECUM

Maria Yip, the Chapter 7 Trustee (the “Trustee”), for the jointly administered estates of Providence Financial Investments, Inc. and Providence Fixed Income Fund, LLC (the “Debtors”), by and through undersigned counsel, will examine, under oath:

Deponent	Date and Time	Location
PricewaterhouseCoopers Advisory LLC c/o CT Corporation System, Registered Agent 1200 South Pine Island Road Plantation, FL 33324	Friday, October 28, 2016 @ 10:00 a.m.	Berger Singerman LLP 1450 Brickell Avenue, Ste. 1900 Miami, FL 33131

The examination may continue from day to day until completed. If the examinee receives this notice less than 14 days prior to the scheduled examination date, the examination will be rescheduled upon timely request to a mutually agreeable time.

IN LIEU OF APPEARANCE, DOCUMENTS CAN BE PRODUCED.

The examination is pursuant to Bankruptcy Rule 2004 and will be taken before an officer authorized to record the testimony. The scope of the examination shall be as described in

Bankruptcy Rule 2004. **The Examinee is required to produce all documents listed on Schedule A to the undersigned attorney on or before Monday, October 24, 2016.**

Dated: October 7, 2016

Respectfully submitted,

BERGER SINGERMAN LLP
Counsel for the Trustee
1450 Brickell Avenue, Suite 1900
Miami, FL 33131
Tel. (305) 755-9500
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By: /s/ James D. Gassenheimer
James D. Gassenheimer
Florida Bar No. 959987
jgassenheimer@bergersingerman.com

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that a true and correct copy of the foregoing was served on the 7th day of October, 2016, by electronic transmission through the Court's CM/ECF system upon all parties on the attached Electronic Mail Notice List and via first class, U.S. Mail upon PricewaterhouseCoopers Advisory LLC, c/o CT Corporation System, Registered Agent, 1200 South Pine Island Road, Plantation, FL 33324 and PricewaterhouseCoopers Advisory LLC, 300 Madison Avenue, New York, NY 10017.

/s/ James D. Gassenheimer
James D. Gassenheimer

SCHEDULE "A"

DEFINITIONS

1. "Affiliates" shall mean any individual and/or entity listed in Table "A" attached hereto.

2. "Bankruptcy Code" means title 11 of the United States Code, 11 U.S.C. §§ 101 *et seq.*

3. "Buzaneli" means Antonio Buzaneli.

4. The term "claim" shall have the meaning ascribed to in section 101(5) of the Bankruptcy Code.

5. The term "communication" includes the transmittal of information (in the form of facts, ideas, opinions, inquiries or otherwise).

6. The term "concerning" includes referring to, responding to, connected with, supporting, memorializing, regarding, discussing, analyzing, evidencing, showing, depicting, describing, reflecting, implying and/or constituting.

7. The term "control" means in your possession, custody, or control or under your direction, and includes in the possession, custody or control of those under the direction of you or your parents, affiliates, subsidiaries, officers, directors, shareholders, members, employees, servants, agents, representatives, attorneys, investigators and any person or entity acting or who has acted by or on their behalf.

8. The term "Debtors" shall collectively mean Providence Financial Investments, Inc., and Providence Fixed Income Fund LLC, including any of their predecessors, affiliates, assigns, employees, agents, and others purporting to act on its behalf as filed in the United States Bankruptcy Court, Southern District of Florida, Jointly Administered Case No. 16-20516-AJC.

9. The term “debt” shall have the meaning ascribed to it in section 101(12) of the Bankruptcy Code.

10. The term “describe” means to: (i) provide a narrative statement concerning the matter in question; (ii) identify all persons having knowledge thereof, stating the subject matter of each and every such person's knowledge and the manner in which his knowledge was obtained; (iii) identify all document and other communications concerning the matter in question; and (iv) state what acts were performed or omitted by each and every person who in any way participated in the matter in question.

11. The term “document” has the broadest meaning accorded to it by the Bankruptcy Code and the Federal Rules of Bankruptcy Procedure, and includes without limitation all written, typed, printed, reproduced, filmed, stored, or recorded material of any kind, in the possession, custody, or control of you or any of your past or present agents, employees, consultants, attorneys or other persons acting on your behalf, including but not limited to any of the following: correspondence; letters; memoranda; interoffice memoranda; writings; notes; notebooks; charts; electronic mail; studies; plans; analyses; work papers; statistical records; bills and other billing records; receipts; books; press releases; reports; contracts and agreements; records, summaries, memorializations, minutes, agendas or notes of meetings, conferences, telephone calls, or other conversations; calendars and diaries; appointment books and message pads; photographs; tape recordings or other audio or video records; handwritten notes or notations in any form; computer tapes, disks, and other data compilations from which information can be obtained, including, without limitation, electronic or computerized data compilations (including electronic mail), electronic bookkeeping and accounting records

(including QuickBooks files), and any printouts thereof; attachments and enclosures; and any drafts of the foregoing.

12. The term “draft” means any earlier, preliminary, preparatory, or tentative version of all or part of a document, whether or not such draft was superseded by a later draft and whether or not the terms of the draft are the same as or different from the terms of the final document.

13. “All documents” means every document or group of documents as above defined that are known to you or that can be located or discovered by reasonably diligent search.

14. The term “Examinee” means PricewaterhouseCoopers Advisory LLC. When referring to documents and other communications, the term “identify” with respect to a person will mean you will furnish information sufficient to enable the Debtors to locate such person, and if the person is a natural person, provide his or her name, present or last known address, phone numbers and facsimile numbers, current and former relevant employer, similar identifying information for identified employers, and present and former relevant positions held at the above respective places of employment; if the person is not a natural person, state whether such entity is a corporation, partnership or other organization, its name, present or last known address, phone number, and similar identifying information.

15. The term “including” means including but not limited to.

16. “Maria Yip” shall mean the Chapter 7 Trustee for the estate of Providence Financial Investments, Inc. and Providence Fixed Income Fund LLC as filed in the United States Bankruptcy Court, Southern District of Florida, Jointly Administered Case No. 16-20516-AJC.

17. “Ordonez” means Jose Ordonez.

18. The term “person” means an individual, a corporation, a general partnership, a limited partnership, a limited liability company, limited liability partnership, an association, a trust or any other entity or organization, including a government or political subdivision or an agency or instrumentality thereof, and the agents, servants, and employees of same.

19. “Rivera” means Julio Rivera.

20. The term “transfer” shall have the meaning provided in the Bankruptcy Code in section 101(54), and shall include within its definition the creation of a lien, the retention of title as a security interest, the foreclosure of a counterparty's equity of redemption, or each mode, direct or indirect, absolute or conditional, voluntary or involuntary, of disposing of or parting with property or an interest in property.

21. “You” and/or “Your” means Examinee and any agent or other third party acting on his behalf.

INSTRUCTIONS

1. Unless otherwise indicated, the applicable time period for these requests is from January 1, 2009 through and including the date of your response.

2. These requests are continuing in nature, so as to require supplemental responses if you obtain or discover further, contradictory or different documents subsequent to the date of your production. Such supplemental responses, if any, shall be filed from time to time promptly upon the discovery by you of such supplemental documents. Each request is to be responded to separately and as completely as possible. The fact that an investigation is continuing and discovery is not complete shall not be used as a reason for failure to respond to any such request as fully as possible. The omission of any document or other item of information from the response shall be deemed a representation that such document or item is not known to the Examinee, its counsel, and/or other representatives or agents of the Examinee.

3. Produce not only those documents in your possession, custody or control, but also those documents in the possession, custody or control of any of your parents, affiliates, subsidiaries, officers, directors, shareholders, members, employees, servants, agents, representatives, attorneys, investigators and any person or entity now acting or who has ever acted by or on your behalf.

4. In responding to these document requests, you are required to obtain and furnish all information available to you and any of your employees, agents, representatives, or attorneys and to obtain and furnish all documents, written and/or electronic, that are in your possession or under your control, or in the possession or under the, control of any of your employees, agents, representatives, and/or attorneys.

5. If any privilege is asserted as to any document required to be produced hereby:

(a) identify each such document by stating (i) the type of document, (ii) its general subject matter, (iii) the date of the document, and (iv) the identity of each person who prepared, signed or participated in the preparation of the document, as well as each addressee and recipient;

(b) state the precise nature of the privileged claimed;

(c) state the basis for the privilege claimed relative to the specific information contained in the document; and

(d) state all facts contained within the document, deleting only opinions, theories, mental impressions and non-factual statements.

6. If any document was, but is no longer, in Your possession, custody or control, provide the following information:

(a) identify the document;

(b) state the disposition of the document and the date such disposition was made;

(c) identify the present custodian of the document and state his address or, if the document no longer exists, so state; and

(d) identify the person who made the decision regarding the disposition of the document.

7. All documents that exist in electronic format shall be produced in the format in which they are maintained, including all meta-data, unless the parties agree to an alternative means of production.

8. In producing the documents called for herein, segregate the documents so as to identify the numbered requests to which such documents respond.

9. Each request for documents, and each subsection of each request for documents, is to be fully and separately answered.

10. A request for a document shall include a request for all drafts thereof and all revisions and modifications thereto.

DOCUMENTS TO BE PRODUCED

The Trustee requests production of the following:

1. All documents that identify persons in possession or control of documents concerning the Debtors.
2. All documents that identify persons in possession or control of assets of the Debtors.
3. All documents that identify persons that have legal or equitable interests in assets in the possession or control of the Debtors.
4. All documents concerning the relationship between the Debtors and any persons with whom the Debtors transacted in the ordinary course of business.
5. All documents that identify any persons with whom the Debtors transacted in the ordinary course of business.
6. All documents concerning any transfers that Debtor made directly or indirectly to each and any Affiliate of the Debtors, Ordonez, Buzaneli, or Rivera. Such documents shall specifically include all bank documents such as bank statements, cancelled checks, wire transfer advices, communications concerning the transfers, etc.
7. All documents concerning any transfers that Debtor made directly or indirectly after January 1, 2009. Such documents shall specifically include all bank documents such as bank statements, cancelled checks, wire transfer advices, communications concerning the transfers, etc.
8. All documents concerning any transfers that Debtor received directly or indirectly after January 1, 2009. Such documents shall specifically include all bank documents such as bank statements, cancelled checks, wire transfer advices, communications concerning the transfers, etc.

9. All documents concerning any agreements entered into by and between either of the Debtors and Examinee, whether executory or not, including, without limitation: any agreements related to the operations, management, business relationships, control, financing, distributions, property, rights, and obligations of the Debtors and any documents concerning the termination of any such agreement.

10. All documents concerning any agreements entered into by and between either or both of the Debtors and each and any Affiliate of the Debtors, whether executory or not, including, without limitation: any agreements related to the operations, management, business relationships, control, financing, distributions, property, rights, and obligations of the Debtors and any documents concerning the termination of any such agreement.

11. All documents concerning the relationship between and among the Debtors on the one hand and either Buzaneli, Ordonez, Rivera, or any Affiliate on the other hand.

12. All documents concerning any Claims made by any party against any of the Debtors.

13. All documents concerning the dissolution of any Affiliate, including, without limitation, provisions made for payments of the claims of the Debtors or other Affiliates.

14. All documents concerning any communications by and between either of the Debtors and Examinee.

15. All documents concerning any communications by and between either of the Debtors and Ordonez, Buzaneli, Ordonez, Ribera, or any Affiliate.

16. All documents concerning any communications by and between any officers, directors, shareholders, owners, managers, or employees of the Debtors while acting in their capacities as officers, directors, shareholders, owners, managers, or employees of the Debtors.

17. All documents concerning any communications with any persons that placed assets in the Debtors' possession or under the Debtors' control.

18. All documents concerning any communications with any persons that received assets from the Debtors.

19. All documents concerning any assets in the Debtors' possession or under the control of the Debtors in which the Debtors have any legal or equitable interest.

20. All documents concerning any assets in Buzanelli's possession or under Buzanelli's control in which the Debtors have any legal or equitable interest.

21. All documents concerning any assets in Ordonez's possession or under Ordonez's control in which the Debtors have any legal or equitable interest.

22. All documents concerning any assets in Rivera's possession or under Rivera's control in which the Debtors have any legal or equitable interest.

23. All documents concerning any assets in any Affiliate's possession or under any Affiliate's control in which the Debtors have any legal or equitable interest.

24. All documents concerning any assets in which Debtors have any legal or equitable interest and which either are or are not in the possession of or under the control of the Debtors.

25. All documents concerning any assets under the possession or control of the Debtors, whether or not the Debtors have any legal or equitable interests in such property.

26. A copy of all documents Examinee has under Examinee's possession or control that in any way concerns or relates to either of the Debtors.

TABLE A

Abio Financial Group, Inc., a Texas corporation
<i>All American Quality Corporation, a dissolved Florida corporation</i>
BPA Associates Investment & Trading Company, Inc., a Florida corporation
Antonio Buzaneli d/b/a BPA Capital S.A.
BPA Global Holdings, Inc., a Delaware corporation
BPA Fomento Mercantil Investimento e Participações Ltda. (Brazil)
BPA Holdings, Inc., a Florida corporation
BPA International (Brazil)
Antonio Buzaneli d/b/a BPA Operating
Antonio Buzaneli d/b/a BPA Quality Products
BPA Restaurants, LLC, a Florida corporation
BPA Sourcing, Inc., a Delaware corporation
BPA Technology, Inc., a Florida corporation
Antonio Buzaneli d/b/a Bric SA Corp
Brazilian Fire Corp., a Florida corporation
EMAB Holdings Corp., a Delaware corporation
Global Social Technologies Corp., a Delaware corporation
Antonio Buzaneli d/b/a HML Import and Export
HNH USA Corp., a Florida corporation
International Monetary Mortgage Fund, Inc., a Florida corporation
New Horizon Financial Corporation, a Delaware corporation
Nextvel Corporation, a Puerto Rico corporation
PFI Global Corp., a Delaware corporation
PFI Global Corp., a dissolved Florida corporation
PFIF, Inc., a Delaware corporation
PFIF, Inc., a dissolved Florida corporation
PLP Capital Partners, a Delaware corporation
Providence Asia Finance & Trading Corp., a Delaware corporation
Providence Capital Ventures, LLC, a Delaware corporation
Providence Entertainment & Media, Inc., a Delaware corporation
Providence Global, Inc., a Delaware corporation
Providence Growth Fund LLC, a Delaware corporation
Providence Health Corporation, a Delaware corporation
Providence Holdings International, Inc., a Delaware corporation
Providence Management Services, LLC, a Delaware corporation
Providence Motors and Equipment Corporation, a Florida corporation
Providence Partners, LLC, a Delaware corporation
Providence Partners International, LLC, a Delaware corporation
Providence Preferred Corp. (Hong Kong)
Providence Private Markets Corp., a Delaware corporation
Providence Realty Advisors, LLC f/k/a Providence Realty Companies, LLC, a Florida corporation
Antonio Buzaneli d/b/a Providence Strategic Ventures, LLC
Providence Trade International Corporation, a Delaware corporation
Providence Worldwide Travel Corp., a Delaware corporation
The Providence Companies, LLC, a Florida corporation
Siente Y Vive International Foundation, a Delaware corporation
BPA Internacional Importacao e Exportacao Ltda, a Brazilian company

Providence Equipamentos, Servicos, Importacao e Exportacao Ltda, a Brazilian company
BPA Quality Products Importacao e Exportacao De Equipamentos Medicos Ltda – Epp, a Brazilian company
Satya Vitae Desenvolvimento Humano Ltda, a Brazilian company
Suba Propaganda Ltda, a Brazilian company
Providence Turismo, Viagens e Servicos S.A., a Brazilian company
Providence Recursos Naturais Importacao e Exportacao S.A., a Brazilian company
Providence Fomento Mercantil Investimentos e Participacoes Ltda, a Brazilian company
BPA Trading, Importacao, Exportacao, Industria, Participacoes e Investimentos Ltda, a Brazilian company
BPA Empreendimentos e Participacoes S.A., a Brazilian company
Santa Elisabeth Empreendimentos e Participacoes S.A., a Brazilian company
Lumiere Fomento Mercantil Investimentos e Participacoes S.A., a Brazilian company
Providence Locacao De Veiculos Blindados Ltda, a Brazilian company,
Veneza Comercio De Artigos Para Presentes Ltda, a Brazilian company
Providence Brazilian Brands e Participacoes S.A., a Brazilian company
Providence Solutions Participacoes S.A., a Brazilian company
Providence Spe Holding S.A., a Brazilian company
Providence Health Care, Investimentos e Participacoes S.A., a Brazilian company
Providence Entretenimento, Lazer, Viagens E Participacoes S.A., a Brazilian company
A.J. Participacoes Ltda Lumiere Exportacao, Importacao e Participacoes Ltda, a Brazilian company
Providence Midia Importacao, Exportacao, Servicos e Eventos Do Brasil S.A., a Brazilian company
Providence Global Ltd., a Guernsey company
Providence Investment Funds PCC Limited, a Guernsey company
Providence Investment Management International Limited, a Guernsey company
Providence Bonds II, PLC., f/k/a Providence Bonds July 2015 PLC, United Kingdom
Lumiere Wealth (London) Limited, United Kingdom
Lumiere Tours International Limited f/k/a Lumiere Tours Limited, United Kingdom
Lumiere Lifestyle Limited f/k/a Providence Transport & Logistics Limited Private Limited
Providence Bonds PLC, United Kingdom
Lumiere Financial Advisory Limited Providence Financial Solutions Limited, United Kingdom
Providence Wealth Limited, United Kingdom

Electronic Mail Notice List

The following is the list of **parties** who are currently on the list to receive email notice/service for this case.

- Eyal Berger eyal.berger@akerman.com, jeanette.martinez@akerman.com
- Sergio M Cabanas SergioCabanasLaw@aol.com, ef.cabanaslaw@yahoo.com;dkent@cabanaslawfirm.com;Jzenon@cabanaslawfirm.com
- Richard J Cole rcole3@gmail.com, rc3@colecolelaw.com
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